Attorney Docket No. 320727-00123

Customer No. 27160

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re U.S. Patent Application of

Lola M. REID et al.

Patent Application No.: 10/645,610

Filed: August 22, 2003

Title: PROLIFERATION OF HEPATOCYTE PRECURSORS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56, § 1.97(b)(3) AND § 1.98

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. § 1.97 (b)(3) and § 1.98, Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the documents were previously submitted in Patent Application No. 09/534,487 filed March 24, 2000, from which this application claims priority under 35 U.S.C. § 120. Accordingly, no copies are being provided herewith.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each, any, or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the present application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents. Further, Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied as prior art against the claims of the present application.

CONCLUSION

It is respectfully requested that the attached information be considered by the Examiner and that a copy of the attached Form PTO/SB/08A be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

AUTHORIZATION

Applicants believe there is no fee due in connection with this filing as this Information Disclosure Statement is being filed prior to any Office Action on the merits in this matter. However, to the extent required, the Commissioner is hereby authorized to charge any fees due in connection with this filing to Deposit Account 50-1710 or credit any overpayment to same.

Respectfully submitted,

Serge Sira, PH.D.

Registration No. 39,445

Gilberto M. Villacorta, Ph.D.

Registration No. 34,038

Patent Administrator KATTEN MUCHIN ZAVIS ROSENMAN 525 West Monroe Street, Suite 1600 Chicago, Illinois 60661-3693 Facsimile: (312) 902-1061

Dated: November 12, 2003

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/645,610				
Filing Date	August 22, 2003				
First Named Inventor	Lola M. REID et al.				
Art Unit	unassigned				
Examiner Name	unassigned				
Attorney Docket Number	320727-00123				

		U. S. PATEN	DOCUMENTS		
Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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4		11/19/96	Reid, et al.		
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6	^{US-} 5,785,964	07/28/98	Naughton et al.		
7	^{US-} 5,624,840	04/29/97	Naughton et al.		
8	^{US-} 5,510,254	04/23/96	Naughton et al.		
9	^{US-} 5,160,490	11/03/92	Naughton et al.		
10	^{US-} 5,032,508	07/16/91	Naughton e al.		
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		FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT				First Named Inventor	Loa M. REID et al.			
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Sheet	2	of	7	Attorney Docket Number	320727-00123			

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	12	Agelli et al. "Survival in Culture of Putative Liver Stem Cells is Independent from Known Epithelial Cell Growth Factors", Clinicial Research, Vol 39, No. 2, pp. 167A 1991.	
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	21	Cronrad et al., "Combined Cystic Teratoma and Hepatoblastoma of the Liver" Cancer, Vol. 72, (No. 10), pp. 2910-2913 1993.	

Examiner	Date	
Signature	Considered	

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995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Compl t if Known Substitute for form 1449/PTO **Application Number** 10/645,610 Filing Date INFORMATION DISCLOSURE August 22, 2003 STATEMENT BY APPLICANT **First Named Inventor** Lola REID et al. Art Unit Unassigned (Use as many sheets as necessary) **Examiner Name** Unassigned Attorney Docket Number Sheet 7 320727-00123

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Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	i
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	22	Carm et al., "Abstracts for the XIII International Meeting of the Society for Analytical Cytology", "Journal of Society for Analytical Cytology Cytomery", Supp. 2, pp. 12 (1988)	
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Attorney Docket Number

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		NON PATENT LITERATURE DOCUMENTS	·
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	42	LEH, et al. "Cloning and Expression of a Novel Type (III) of Human y-Glutamyltransferase tuncated mRNA," FEBS Letters, Vol. 394, pp 258-262. (1996)	
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		NON PATENT LITERATURE DOCUMENTS	
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	52	SANDERS et al., "Determination of Guanine-Plus-Cytosine Content of Bacterial DNA by Dual-Laser Flow Cytomery", Journal of General Microbiology", Vol. 136 (Part 2), pp. 219-376 (1990)	
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· · · · · · · · · · · · · · · · · · ·	58	SIGAL et al., "Evidence for a Terminal Differentiation Process in the Rat Liver", Differentiation, Vol. 59, pp. 35-42 (1995)	
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Examiner Name

(Use as many sheets as necessary)

Unassigned Attorney Docket Number Sheet 17 320727-00123 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			
	62	STEINKAMP et al., "A New Multiparameter Separator for Microscopic Particles and Biological Cells", Review of Scientific Instruments", Vol. 44 (No. 9), pp. 1301-1310 (1973)	
	63	TANIGUCHI et al., "Conditions for the Successful Engraftment of Hepatocyte Progenitors Injected into the Spleen", Transplantation Proceedings, Vol. 28 (No. 3), pp. 1855-1856,	
	64	TASI et al., "Isolation of a Human Stromal Cell Strain Secreting Hemopoietic Growth Factors", Journal of Cellular Physiology", Vol. 127, pp. 137-145 (1986)	
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	66	TSUCHIDA, et al., "The Role of Subfractionation of Alpha-Fetoprotein in the Treatment of Pediatric Surgical Patients", J. of Pediatric Surgery', Vol. 32, No. 3, pp. 514-517 (1997)	
	67	WILSON et al., "Temporary Amelioration of Hyperlipidemia in Low Density Lipoprotein Receptor-Deficient Rabbits Transplanted with Genetically Modified Hepatocytes", Proc. Natl. Acad. Sci.: Vol. 87, pp. 8437-8440 (1990)	
	68	WILSON et al., "Retrovirus-Mediated Transduction of Adult Hepatocytes", Proc. Natl. Acad. Sci., Vol. 85, pp. 3014-3018, (1988)	
	69	Wu et al., "Targeting Genes: Delivery and Persistent Expression of a Foreign Gene Driven by Mammalian Regulatory Elements in Vivo", J. Biol. Chem., Vol. 264, (No. 29) pp. 16985-16987 (1989)	
	70	Yamamasu, et al., "Role of Glutathione Metabolism and apoptosis in the Regression of Live Hemopoiesis", Free Radical Biology & Medicine, Vol. 23 (No. 1) pp. 100-109 (1997)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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